



ASSET MANAGERS & TRUST ORGANISATION

BUILDING A PARTNERSHIP

Independent Swiss Wealth Management Company founded in 2013 with HQ in Geneva, offices in Zurich and in Lugano.

What

MANTOR unites selected professionals into an efficient organisation to reflect the challenges of the financial markets and respective evolving regulation.

How

MANTOR's integrated wealth managers are vetted through a rigorous process. They become partner or directors of MANTOR after transferring their client base. From that moment on they act exclusively under the name, responsibility and control of MANTOR.

Why

Centralised tasks and costs are mutualised, such as **Compliance, Risk Management, Insurance, Market Analysis, Trading, Back Office** etc. with **efficient IT solutions**.

We Offer

A wide range of expertise and a strong business model, while centralising all legal, financial and operational services.



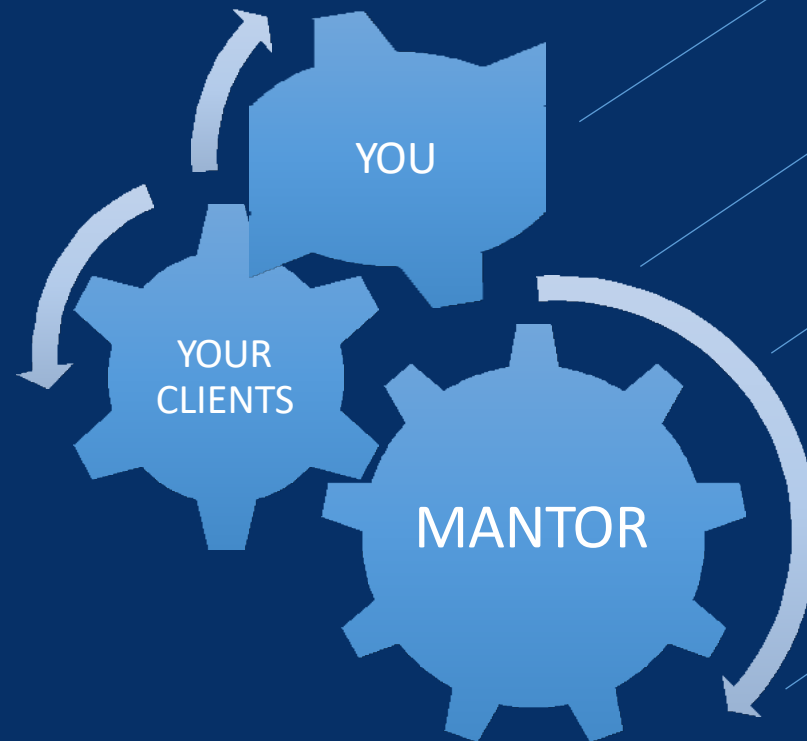
Be part of the MANTOR organisation,

The **Focus** is on the **Clients !**

A solution for Wealth Managers

"The Art of Association"

- Alexis de Tocqueville



Professionnal Insurance

Administration
Back-Office

Compliance
Risk Management

Asset Management

Point of Contact for
Custodian Banks & Regulators

Partner or Associate model

- ✓ Asset Management Mandates & related documentation in five languages
- ✓ Reconciliation of Fees & Commissions
- ✓ Due diligence framework and other processes
- ✓ Custodian bank & regulator's point of contact
- ✓ Efficient multi-bank consolidation service
- ✓ Centralised IT platform
- ✓ Third Party Agreements
- ✓ Monitoring of client profiles in central Database (CRM)
- ✓ Electronic Document Management (EDM)

A Swiss made software that includes:

- ✓ A CRM module for the management of client data, including the whole KYC part;
- ✓ A portfolio management module including performance calculation, valuation, transaction monitoring, etc.
- ✓ A risk management module allowing dynamic monitoring of risk criteria such as “strategic limits by asset class or currency, concentration risk, etc” developed at the client, portfolio and transaction level.
- ✓ A compliance module including transactional alerts based on client’s risk, cumulative volume, respect of regulatory requirements such as FinSA, FinIA, MIFIDD II, AML, AMLA.
- ✓ A back-office module including activity and visit reports, profitability calculation for managers and portfolios, billing and expense management, client and portfolio reports, etc.

✓ Compliance and Risk Monitoring

- ✓ SRO PolyReg, Supervisory Organisation OSFIN, Ombudsman OFD, FINMA license in progress
- ✓ Ongoing KYC i.e. due diligence, AML Check, Polixis, sanctions and embargos SECO's lists
- ✓ Legal & regulatory monitoring (AML, FinSa, FinIA)
- ✓ Yearly regulatory AML Audit (regulators' point of contact)
- ✓ Suitability and appropriateness Check (risk monitoring)
- ✓ Training (AML, Cross border, etc)

So that you can **Remain focused !**

Asset Management

Living with strong values - this is our DNA

- ✓ Tailored investment solutions
- ✓ Investment advisory
- ✓ Day-to-day Management
 - ✓ Risk Management
 - ✓ Investment proposals
 - ✓ Portfolio monitoring
 - ✓ Theme and Product oriented recommendations
 - ✓ Fund manager and product selection
 - ✓ Empowering Asset Managers
 - ✓ High level investment opportunities

Custodians & Providers

Trust and Quality

NON EXHAUSTIVE LIST OF CUSTODIANS

LOMBARD ODIER
LOMBARD ODIER DARIER HENTSCH

CREDIT SUISSE

CBH | Compagnie
Bancaire
Helvétique

**EDMOND
DE ROTHSCHILD**

Julius Bär

Schroders

BIL
1856

**Banque Cantonale
de Fribourg**

Swissquote

PICTET
1805

BPS (SUISSE)

PKB 1958

BancaStato

corner

BCGE

Syz
for the future...

Vontobel

EFG

GONET
BANQUIERS 1845

UBP
UNION BANCAIRE PRIVÉE

INDOSUEZ
WEALTH MANAGEMENT

J. SAFRA SARASIN
Sustainable Private Banking since 1845

HYPOSWISS
PRIVATE BANK

VPBANK

SOME OF OUR CURRENT PROVIDERS

hortis

the Screener
Empowering Investors

Efficiencie
DESIGNED AND DEVELOPED BY THE KEY

gwp



MANTOR

ASSET MANAGERS & TRUST ORGANISATION

Stronger Together !